DEVELOPMENT OF OBJECTIVE TESTS FOR AUTOMATED VEHICLES

Frank Barickman, NHTSA



Outline

NHTSA Research

- CAMP Automated Vehicle Research
 - Method To Determine Automation Level
 - High Level Safety Principles
- Objective Test Development
 - Framework
 - Use Cases Examples
- Summary

CAMP AVR Project

- Project Started November 2013
- Project consisted six technical tasks
- Project Participants
 - Ford Motor Company
 - General Motors
 - Mercedes Benz
 - Nissan
 - Toyota Motors
 - Volkswagen Group of America
- Project Completed
 - Report in Final NHTSA Review

CAMP AVR Research

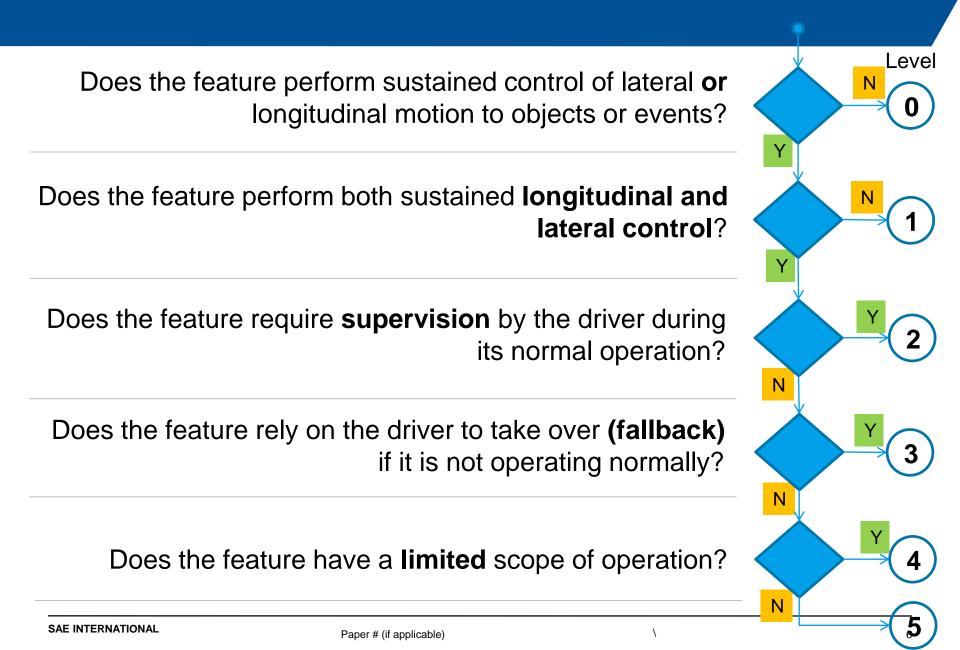
Objectives

- Develop functional descriptions of automation levels
- Develop list of potential driving automation features
- Develop a set of safety principles that apply by level
- Develop potential objective test methods as a framework for evaluating driving automation systems
- Coordinate with NHTSA
 - Human factors
 - Electronic control systems safety

Why Automation Levels Are Needed

- Critical safety discussions
 - Potential changes to driver's role
 - Proper use of technology
- Common framework
 - Design
 - Customer education/training
 - Regulation
- Benefits to development, understanding and acceptance
 - Categorize technology based on functional attributes
 - Clarify driver's role in proper usage

Automated Level Categorization Flow Chart for Automation Designers



Mapping Features to Levels using CAMP AVR Methodology

Driving Automation Methodology Question		Sustained Lateral AND Longitudinal Control?	Driver supervision required?	Driver required outside normal operation?	Limited scope of operation?	
Driving Automation characteristic	Control to the exte	ernal environment	Sensing and response	Fallback	Operational conditions	
Response to methodology question confirms level or	Yes, move to	next question	No, n	nove to next que	estion	
proceeds to next question	No, stop a	t this level	Ye	es, stop at this lev	vel .	
Driving Automation Level	0	1	2	3	4	5
Electronic Stability Control (ESC)	No ↑					
Conventional Cruise control	No 🛧					
Adaptive cruise control (ACC)	Yes →	No ↑				
ACC with Lane Keeping (steering support)	V∆C →	No ↑				
ACC with Lane Centering	Yes →	Yes →	Yes 🛧			
Highway pilot	Yes →	Yes →	No →	Yes 🛧		
Automated Parking System	Yes →	Yes →	No →	No →	Yes 🛧	
Robotic Taxi	Yes →	Yes →	No →	No →	No →	1

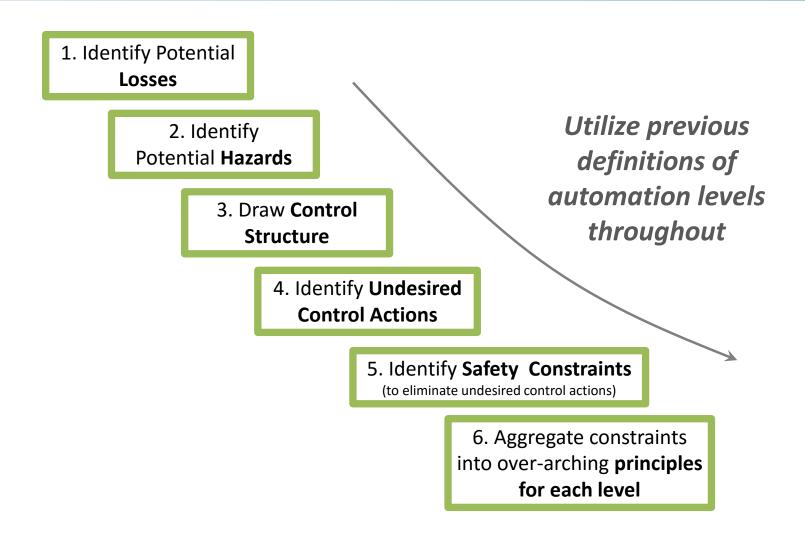
SAE INTERNATIONAL

Development of Top-Level Safety Principles

A key deliverable of the AVR Consortium entailed

- The creation of a hazard analysis in order to generate top-level safety principles intended to effectively and succinctly cover the identified hazards inherent in driving automation levels 2-5
- The development of a set of solution-neutral, top-level, safety principles for each of the driving automation levels defined in a previous AVR task
- Establish (where possible) safety guidance for driving automation systems, while leaving it to the OEM/system designer to generate plausible solutions

Process to Develop Safety Principles



Three Actors Engaged in Driving Automation

Vehicle Operator*

Driving Automation

Vehicle Systems

<u>All three</u> are necessary to describe how automation impacts the performance of the dynamic driving task (DDT)

* - e.g., driver

Summary of Safety Principles - I

	When automation is engaged at:					
Safety principle related to:	Level 2	Level 3	Level 4	Level 5		
	assures operational readiness (SP 2.1 i)	← (SP 3.1)	← (SP 4.1)	← (SP 5.1)		
	relied upon to avoid hazards, by completing the OEDR subtask					
	and DDT (SP 2.1 ii)	activates automation for first time in drive cycle (SP 3.4)	← (SP 4.5)	← (SP 5.5)		
		determines if vehicle failure occurs and takes control (SP 3.10 i)				
Driver/ Operator		understands that direct driver input will cause a transition to				
		lower lever automation and driver will then control those				
		inputs (SP 3.10 ii) takes control when requested by automation (SP 3.10 iii)				
		understands that after automation request to take				
		control, automation will only remain in control for a limited time (SP 3.10 iv)				
Vehicle systems	designed such that the driver is capable of fully performing DDT (lateral / longitudinal control and OEDR) (SP 2.2)	← (SP 3.2)	Note - include if vehicle is capable of lower level automation	Note - include if vehicle is capable of lower level automation		

SAE INTERNATIONAL

Summary of Safety Principles - II

		When automation is engaged at:			
Safety principle related to:	Level 2	Level 3	Level 4	Level 5	
	arbitrate between defined driver inputs and driving automation commands by prioritizing the driver input (SP 2.3 i)	← (SP 3.3 i)			
	allow driver to take full control at any time (SP 2.3 ii)	← (SP 3.3 ii)			
Automation Controller	may verify define driver input before deactivating driving automation (SP 2.3 iii)	← (SP 3.3 iii)			
(part 1)		provides persistent indication	← Note - include if vehicle is	← Note - include if vehicle is	
		to driver of operation in high	capable of lower level	capable of lower level	
		automation state (SP 3.5)	automation	automation	
		provides indication to driver of	← Note - include if vehicle is	← Note - include if vehicle is	
		request to transition to lower	capable of lower level	capable of lower level	
		level automation (SP 3.6)	automation	automation	
		competently performs the DDT		competently performs the DDT	
		within its operational design	← (SP 4.2 i)	in all domains	
		domain (SP 3.7 i)		(SP 5.2)	

Overall Summary of Safety Principles

	Level 2	Level 3	Level 4	Level 5
	assures operational readiness (SP 2.1 i)	← (SP 3.1)	← (SP 4.1)	← (SP 5.1)
	relied upon to avoid hazards, by completing the OEDR subtask and DDT (SP 2.1 ii)			
r 'z'		activates automation for first time in drive cycle (SP 3.4)	← (SP 4.5)	← (SP 5.5)
Ver		determines if vehicle failure occurs and takes control (SP 3.10 i)		
Driver / Operator		understands that direct driver input will cause a transition to lower lever automation and driver will then control those inputs (SP 3.10 ii)		
		takes control when requested by automation (SP 3.10 iii)		
		understands that after automation request to take control, automation will only remain in control for a limited time (SP $3.10\mathrm{iv}$)		
Vehicle systems	designed such that the driver is capable of fully performing DDT (lateral / longitudinal control and OEDR) (SP 2.2)	← (SP 3.2)	Note - include if vehicle is capable of lower level automation	Note - include if vehicle is capable of lower level automation
	arbitrate between defined driver inputs and driving automation commands by prioritizing the driver input (SP $2.3\mathrm{i}$)	← (SP 3.3 i)		
	allow driver to take full control at any time (SP 2.3 ii)	← (SP 3.3 ii)		
_	may verify define driver input before deactivating driving automation (SP 2.3 iii)	← (SP 3.3 iii)		
6		provides persistent indication to driver of operation in high automation state (SP 3.5)	 Note - include if vehicle is capable of lower level automation 	 Note - include if vehicle is capable of lower level automation
		provides indication to driver of request to transition to lower level automation (SP 3.6)	 Note - include if vehicle is capable of lower level automation 	Note - include if vehicle is capable of lower level automation
		competently performs the DDT within its operational design domain (SP 3.7 i)	← (SP 4.2 i)	competently performs the DDT in all domains(SP 5.2)
6		prohibit entry into automated driving when domain is not achieved (SP 3.7 ii)	← (SP 4.2 ii)	
Automation	vehicle/automation system single point failure shall not cause immediate loss of total control (SP 2.4)	← (SP 3.8)	designed such that any single failure does not lead to a hazardous situation (SP 4.3)	← (SP 5.3)
<		before exiting domain or in advance of automation failure that impacts DDT performance, system shall transfer control to the driver (SP 3.9)	ability to engage minimal risk condition when necessary (SP 4.2-iii)	← (SP 5.2)
		verified driver inputs shall cause transition to lower level automation (SP 3.9 i)	may delay requests by operator to take over/stop automation when necessary to avoid identified hazards (SP 4.4)	← (SP 5.4)
		system shall maintain operational condition that affords reasonable transition time to driver (SP 3.9 ii)		

Automated Vehicles – Coming Soon

Near Term

- Level 2 type systems are starting to hit the market
 - Tesla Autopilot
 - GM Super Cruise (announced- 2017)
 - Others coming

Features

- Highway Driving Assist
- Traffic Jam Assist
- Park Assist

Objective Testing Framework

Driving Automation Methodology Question	Sustained Lateral OR Longitudinal control?	Sustained Lateral AND Longitudinal Control?	Driver supervision required?	Driver required outside normal operation?	Limited scope of operation?	
Driving Automation characteristic	Control to the ext	ernal environment	Sensing and response	Fallback	Operational conditions	
Response to methodology question confirms level or	Yes, move to	next question	No, n	nove to next que	estion	
proceeds to next question			Yes, stop at this level			
Driving Automation Level	0	1	2	3	4	5
Electronic Stability Control (ESC)	No ↑					
Conventional Cruise control	No ↑					
Adaptive cruise control (ACC)	Yes →	No ↑				
ACC with Lane Keeping (steering support)		No ↑				
ACC with Lane Centering	Yes →	Yes →	Yes ↑			
Highway pilot	Yes →	Yes →	No →	Yes ↑		



	Level 2	Level 3	Level 4	Level 5
	assures operational readiness (SP 2.11)	€ (\$P3.1)	← (\$P 4.1)	◆ (SP 5.1)
_	refied upon to avoid hazands, by completing the OEDR subtask and OOT (SP 2.1 I)			
<u> </u>		activates automation for first time in drive cycle (SP 3.4)	€ (\$P.4.5)	← (SP.5.5)
e E		determines if vehicle failure occurs and takes control (SP 3.10 i)		
Driver / Operator		understands that direct driver input will cause a transition to lower lever automation and driver will then control those inputs SP 3.10 III		
0		takes control when requested by automation (SP 3.10 ii)		
		understands that after automation request to take control, automation will only remain in control for a limited time (SP 3.2014).		
/ehicle ystems	designed such that the driver is capable of fully performing DDT (lateral / longitudinal control and OEDR) (SP 2.2)	€ (\$P.3.2)	Note - Industrial Profition is computed of Insuer level automation	€ Note - include if well-lide is capable of lower level automation
	arbitrate between defined driver inputs and driving automation commands by prioritizing the driver input (SP 2.31)	← (9°33i)		
	allow driver to take full control at any time (SP 2.3 ii)	€ (\$P3.37i)		
_	may verify define driver input before deactivating driving automation (SP 2.3 iii)	◆ (SP 3.345)		
Automation		provides pensistent indication to driver of operation in high automation state (SP 3.5)	 Note - Include if we highe is capable of lower level automation 	 Note - Include if vehicle is capable of lower level automation
		provides indication to driver of request to transition to lower level automation (SP 3.6)	 Note - Indude if we hide is capable of lower level automation 	 Note - Indude if vehicle is capable of lower level automation
		competently performs the DDT within its operational design domain (SP 3.7 I)	€ (\$P42i)	competently performs the DDT in all domains(SP 5.2)
		prohibit entry into automated driving when domain is not achieved (SP 3.7 ii)	← (\$P.4.2 ii)	
=	vehicle/automation system single point failure shall not cause immediate loss of total control (SP 2-4)	← (\$P 3.8)	designed such that any single failure does not lead to a hunardous situation (SP4.3)	← (SP 5.3)
⋖		before enting domain or in advance of automation failure that impacts DOT performance, system shall transfer control	ability to engage minimal risk condition when necessary	← ISP 5.20

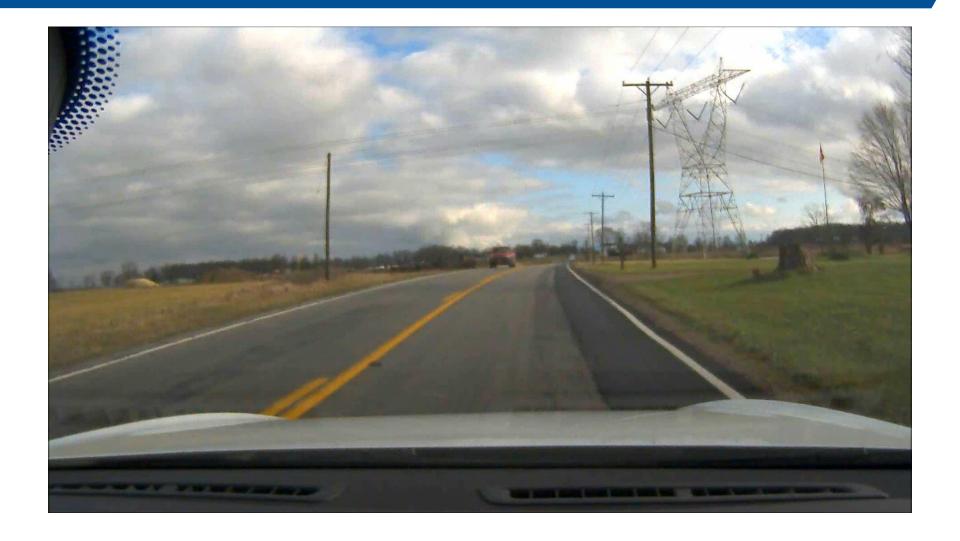




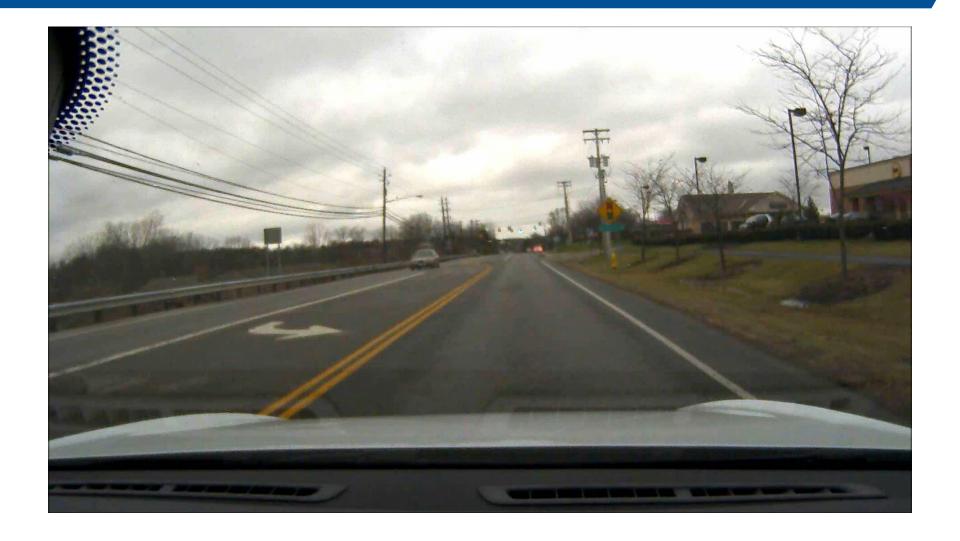
Park Assist



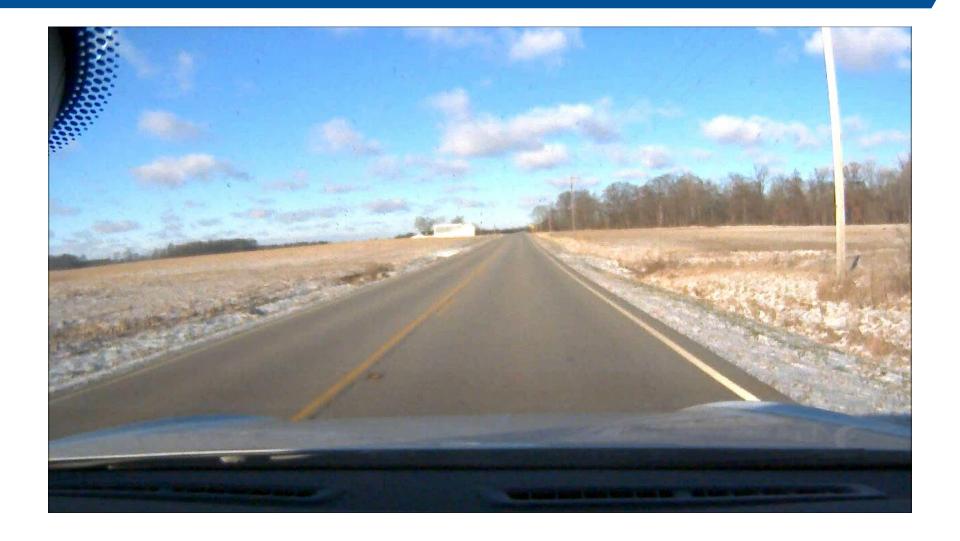
Rural Driving



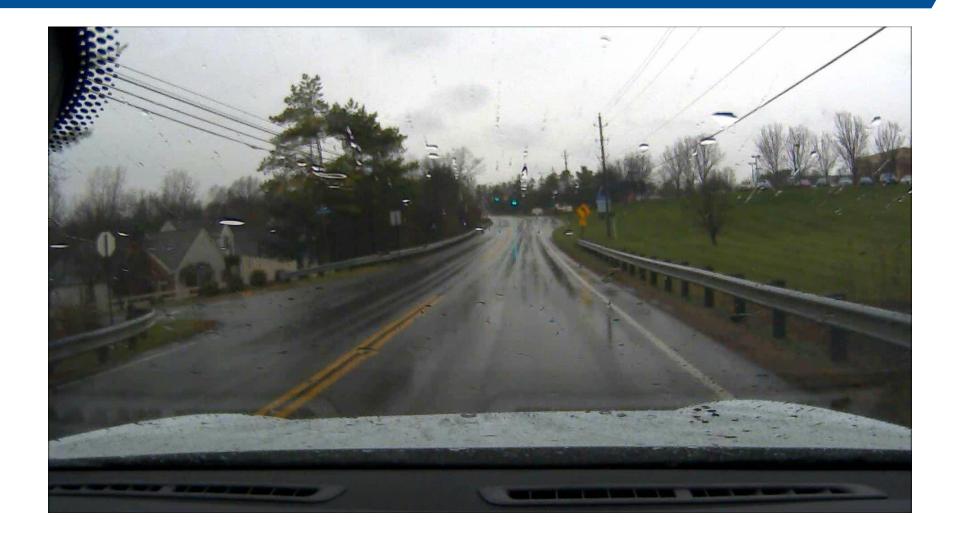
Intersection



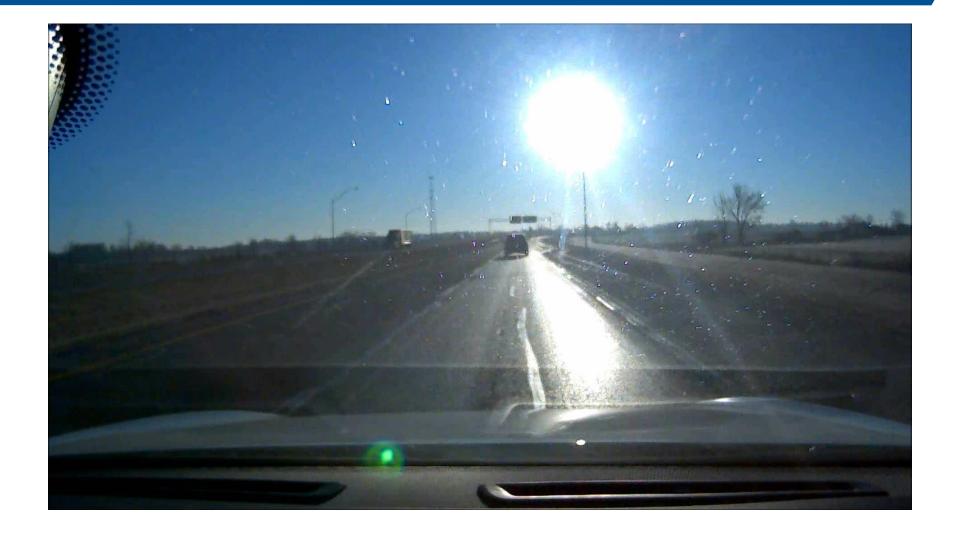
Ping-Pong Lateral Control



Environment – Weather



Low Sun Angle



Summary

CAMP AVR

Final Report will be released very soon

Future Objective Test Development

- Build on the framework developed in CAMP research
- Develop Objective tests based on the framework and use cases being studied by on road driving and crash cases
- Develop methods and determine factors that are critical for testing automated vehicles
 - Environment
 - Test course (curbs, road side features, etc.)
 - Surrogate Targets (multiple 3D robotic type targets)